# MICHIGAN DEPARTMENT OF COMMUNITY HEALTH BUREAU OF HEALTH SYSTEMS

# A CITIZEN'S GUIDE TO FILING A COMPLAINT AGAINST A LICENSED HEALTH CARE FACILITY

The Michigan Department of Community Health (MDCH) investigates complaints against health care facilities that fail to deliver services as required by federal and state laws. The types of facilities covered by the Bureau of Health Systems (BHS) are listed below. Examples of allegations investigated include physical, mental, or sexual abuse of a resident or patient; neglect of a resident or patient; misappropriation of property; failure to provide adequate care or in accordance with a physician's orders; unsanitary conditions; inadequate staffing to meet resident or patient care needs.

All nursing homes are required to post the name, title, location, and telephone number of an individual in the nursing home who is responsible for receiving complaints and conducting complaint investigations. Someone in the nursing home should be on duty 24 hours a day, 7 days a week to respond to complaints. You may wish to contact the facility representative or administrator before filing this complaint.

### FILING A COMPLAINT

BHS must have the following minimum information to open a complaint:

- Complainant's name, address, and telephone number
- Facility's name and location
- Resident/patient name and location
- Nature of complaint
- Date of incident

## Anyone may file a complaint against a licensed or certified health care facility by:

- Submitting the BHS Online Complaint Form on the Internet at <a href="http://www.michigan.gov/bhs">http://www.michigan.gov/bhs</a> by clicking "Health Systems & Licensing" (button in left-hand column), "Featured Services", form title (in center of page)
- ▶ Completing and mailing a *Nursing Home Complaint Form* (BHS-OPS-361a)—for nursing homes only,
- Completing and mailing a Health Facility Complaint Form (BHS-OPS-361)—for health facilities other than nursing homes (see Facility Types Investigated By MDCH, BHS below),
- ▶ Submitting a letter with at least the required complaint information shown above,
- ▶ Calling the toll-free Complaint Hotline at 1-800-882-6006 to file an oral complaint or with questions.

## Mail written complaints to:

Michigan Department of Community Health Bureau of Health Systems, Complaint Investigation Unit P. O. Box 30664 Lansing, MI 48909 Fax: 517-241-0093

NOTE: The name of a complainant and a resident named in a complaint are not disclosed to a nursing home during an investigation unless the complainant or resident consent in writing. However, the investigation can proceed more quickly if the complaint can be discussed at the time of the investigation. For other health care facilities, the name of the complainant and the charges contained in the complaint are a matter of public record. Health care facilities are not informed of the date and time an investigation will be conducted.

#### WHAT HAPPENS WHEN A COMPLAINT IS FILED

- 1. The Complaint Unit receives an oral or written complaint.
- 2. A complaint number is assigned, priority determined, and an acknowledgment mailed to the complainant.
- 3. The complaint is assigned to an investigator.
- 4. An investigator contacts the complainant to discuss the allegations.
- 5. The investigator conducts an investigation.
- 6. The investigator contacts the complainant to discuss the findings.
- 7. A written report explaining the findings is sent to the complainant with instructions on how to request a review if dissatisfied with the findings.
- 8. Findings are sent to the facility. If the complaint is substantiated, the facility is informed of any penalties that apply and the requirement to take corrective action.

## FACILITY TYPES INVESTIGATED BY MDCH, BHS

- ▶ ASC Ambulatory Surgical Center Medicare certified facilities which operate <u>only</u> for the purpose of providing surgical services to patients not requiring hospitalization.
- CMCF County Medical Care Facility Nursing care facilities owned and operated by a county, that provide long term nursing care, rehabilitation, and medical treatment.
- ► CORF Comprehensive Outpatient Rehabilitation Facility
  Nonresidential facilities that provide diagnostic, therapeutic and restorative services to outpatients
  by or under the supervision of a physician.
- ► EMS Emergency Medical Services Coverage of emergency medical services provided to patients and all forms of emergency medical services transportation.
- ► ESRD End Stage Renal Disease Facility

  Medicare certified suppliers of renal disease services, including renal (kidney) transplants, dialysis, and various diagnostic, therapeutic, and rehabilitative services.
- ► FSOF Freestanding Surgical Outpatient Facility
  Facilities, other than the office of a physician, dentist, podiatrist, or other private practice office, that
  offer surgical procedures and related care that is performed without requiring overnight inpatient

hospital care and is not operated as part of a hospital. It does not include a surgical outpatient facility owned by and operated as part of a hospital.

- ► FS-ASC Freestanding Surgical Outpatient Facility/Ambulatory Surgical Center Facilities that are both licensed as an FSOF and certified as an ASC.
- HHA Home Health Agency
   Organizations that provide skilled nursing and other therapeutic services to patients in their own homes.
- HLTU Hospital Long-Term Care Unit
   Nursing care facilities owned and operated by and as part of a hospital, providing long-term nursing care and medical treatment.
- ► HOSP Hospitals

Facilities offering inpatient, overnight care, emergency room services, and services for observation, diagnosis, and active treatment of an individual with a medical, surgical, obstetric, chronic, or rehabilitative condition requiring the daily director or supervision of a physician. The term does not include a hospital licensed or operated by the Department of Community Health or a hospital operated by the Department of Corrections.

**▶** HSPC – Hospice

Health care programs that provide a coordinated set of services at home or in outpatient or institutional settings for individuals suffering from a terminal disease or condition.

# ▶ NH – Nursing Home

Nursing care facilities other than a hospital long-term care unit or a county medical care facility, that provide long-term nursing care, rehabilitation, and medical treatment to seven or more unrelated individuals suffering or recovering from illness, injury or infirmity. It does not include units in state correctional facilities, hospitals, veteran's facilities, or hospices.

- ▶ OPT Outpatient Physical Therapy/Occupational Therapy and/or Speech Pathology Services Rehabilitation agencies, clinics or public health agencies which provide outpatient physical therapy, occupational therapy, and/or speech pathology services.
- ▶ PSYCH HOSP Psychiatric Hospitals and Units Inpatient services offered for observation, diagnosis, active treatment, and overnight care of persons with a mental disease, or with a chronic mental disease or condition requiring the daily direction or supervision of a physician.
- RHC Rural Health Clinic
  Medicare certified clinics located in a rural area. These clinics are typically staffed by a physician assistant supervised by a physician and may include mobile units.

# ▶ RS – Radiation Safety

Cover the registration and inspection of x-ray facilities and machines; mammography machines, medical and non-medical radiation machines, PET cyclotrons, research cyclotrons; approval of radiation shielding design; investigation of radiation incidents involving excessive radiation doses to workers and misadministrations of therapeutic x-ray, electron beam, or neutron beam radiation doses to patients.

X-Ray – Portable X-Ray Services (X-RAY)
 Suppliers of diagnostic x-ray services furnished in a patient's home.

#### AGENCIES THAT HELP CITIZENS WITH HEALTH FACILITY COMPLAINTS

## **Citizens for Better Care (CBC)**

CBC is an advocacy group for nursing home residents and families.

Detroit 1-800-833-9548/313-832-6387

Website: <a href="http://www.cbcmi.org">http://www.cbcmi.org</a>

## **Centers for Medicare & Medicaid Services (CMS)**

The official U.S. government site for people with Medicare which includes nursing home comparisons and nursing home inspection reports and other information.

Website: http://www.medicare.gov/

## **Department of Attorney General (AG)**

The Attorney General investigates elder abuse and Medicaid fraud.

Toll-free number: 1-800-242-2873

Website: <a href="http://www.michigan.gov/ag/">http://www.michigan.gov/ag/</a> (to file an online complaint with A.G.)

Michigan Department of Labor & Economic Growth, Bureau of Construction Codes, Office of Fire Safety Investigates complaints concerning health care facility physical plant problems.

(517) 322-1162

## **Department of Human Services (DHS) formerly FIA**

Investigates complaints against Homes for the Aged and Adult Foster Care Facilities.

Toll free: 1-866-856-0126

Website: Adult Foster Care and Homes for the Aged

## Michigan Department of Community Health, Bureau of Health Professions (BHP)

Receives complaints against individual health care professionals, such as doctors, nurses, social workers and nursing home administrators. Forms can be requested at:

(517) 373-9196; by e-mail at bhpinfo@michigan.gov and

BHP Complaint & Allegation website: <a href="http://www.michigan.gov/healthlicense">http://www.michigan.gov/healthlicense</a> (click on "file a complaint").

## Michigan Department of Community Health, Bureau of Health Systems, L&C

Hotline for assistance and complaints for Home Health Agencies: 1-800-882-6006

## Michigan Protection & Advocacy Service (MPAS)

MPAS can tell you who you should call to report abuse/neglect, help you file a complaint, or investigate an abuse/neglect allegation.

Toll-free number: 1-800-288-5923 Website: <a href="http://www.mpas.org/">http://www.mpas.org/</a>.

### **State Long Term Care Ombudsman**

State long term care ombudsman will help identify, investigate and help resolve complaints of residents of licensed long-term care facilities through its network of local ombudsmen.

Toll-free Number: 1-866-485-9393